

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR			ATTORNEY DOCKET NO.	
09/028,781	02/24/98	WALKER		Ţ.	3178-4034 <b>-</b> US	
· <del></del>		QM12/1026	$\neg$	EXAMINER		
WALKER DIGITAL CORPORATION ONE HIGH RIDGE PARK STAMFORD CT 06905-1326			SAGER, M  ART UNIT PAPER NUMBER			
				ANTONII	FAPER NUMBER	
	1			3713	)O	
				DATE MAILED:	,	
					10/26/99	

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

	Application No. Applicant(s)		Iker etal						
Office Action Summary	Fyaminer		Group Art Unit						
	Soger		3713						
—The MAILING DATE of this communication appears on the cover sheet beneath the correspondence address—									
Period for Response	, 0								
A SHORTENED STATUTORY PERIOD FOR RESPONSE IS SET TO EXPIRE 11/22(3) MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.									
<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a response be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If the period for response specified above is less than thirty (30) days, a response within the statutory minimum of thirty (30) days will be considered timely.</li> <li>If NO period for response is specified above, such period shall, by default, expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to respond within the set or extended period for response will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).</li> </ul>									
Responsive to communication(s) filed on Aug 6,1999									
This action is FINAL.									
☐ Since this application is in condition for allowance except for formal matters, <b>prosecution as to the merits is closed</b> in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 1 1; 453 O.G. 213.									
Disposition of Claims									
Claim(s) /05-125	is/are p	is/are pending in the application.							
Of the above claim(s)	is/are w	is/are withdrawn from consideration.							
□ Claim(s)	ie/ara a	is/are allowed							
Claim(s) /05 -/23		ie/are re	ejected.						
Claim(s) /05 -/25	is/are o	is/are objected to.							
☐ Claim(s)	are sub	ject to restriction	or election						
Application Papers		require	ment.						
☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.									
☐ The proposed drawing correction, filed on is ☐ approved ☐ disapproved.									
☐ The drawing(s) filed on is/are objected to by the Examiner.									
☐ The specification is objected to by the Examiner.									
☐ The oath or declaration is objected to by the Examiner.									
Priority under 35 U.S.C. § 119 (a)-(d)									
<ul> <li>□ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 11 9(a)-(d).</li> <li>□ All □ Some* □ None of the CERTIFIED copies of the priority documents have been</li> <li>□ received.</li> </ul>									
<ul> <li>□ received in Application No. (Series Code/Serial Number)</li> <li>□ received in this national stage application from the International Bureau (PCT Rule 1 7.2(a)).</li> </ul>									
*Certified copies not received:	-								
Attachment(s)			·						
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s	s) 🗆 In	terview Summ	nary, PTO-413						
☐ Notice of References Cited, PTO-892		☐ Notice of Informal Patent Application, PTO-152							
☐ Notice of Draftsperson's Patent Drawing Review, PTO-948		☐ Other							
Mage Office Action Summary									

U. S. Parson and Trademark Office PTO-326 (Rev. 3-97) Serial Number: 09/028,781 Paper No. 10
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## **Double Patenting**

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321© may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 105-125 are rejected under the judicially created doctrine of double patenting over claims 1-12 of U. S. Patent No. 5,779,549 since the claims, if allowed, would improperly extend the "right to exclude" already granted in the patent.

The subject matter claimed in the instant application is fully disclosed in the patent and is covered by the patent since the patent and the application are claiming common subject matter, as follows: apparatus (e.g. system) or method for a distributed electronic tournament as claimed therein.

3. Furthermore, there is no apparent reason why applicant was prevented from presenting claims corresponding to those of the instant application during prosecution of the application which matured into a patent. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

### Allowable Subject Matter

4. Claims 105-125 appear to contain subject matter deemed patentable over art of record.

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# Allowable Subject Matter

- 3. Claims 105-125 appear to contain subject matter deemed patentable over art of record.
- 4. The following is a statement of reasons for the indication of allowable subject matter: regarding claims 105, 115, 116-119 and 121-125, the reasons for indicating allowance of subject matter in cited claims is included in parent application cited above and incorporated herein.
- 5. Since allowable subject matter has been indicated, applicant is encouraged to submit formal drawings in response to this Office action. The early submission of formal drawings will permit the Office to review the drawings for acceptability and to resolve any informalities remaining therein before the application is passed to issue. This will avoid possible delays in the issue process.

## Response to Arguments

6. Applicant's arguments filed Aug. 6, 1999 have been fully considered but they are not persuasive. The examiner notes the filed preliminary amendment failed to either respond to the double patenting holding or to provide a terminal disclaimer.

#### Conclusion

7. This is a continuation of applicant's earlier Application No. 08/635,576 (now U.S. Pat. 5,779,549). All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to M. A. Sager whose telephone number is (703) 308-0785. The examiner can normally be reached on T-F from 0700 to 1700. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ms. Valencia Martin-Wallace, can be reached on (703) 308-4119. The fax phone number for this Group is (703) 305-3580.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-1148.

M. Sager

Patent Examiner Oct. 19, 1999